

## Chapter 2

# Using CIPSI Nodes in Diffusion Monte Carlo

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Several aspects of the recently proposed DMC-CIPSI approach consisting in using selected Configuration Interaction (SCI) approaches such as CIPSI (Configuration Interaction using a Perturbative Selection done Iteratively) to build accurate nodes for diffusion Monte Carlo (DMC) calculations are presented and discussed. The main ideas are illustrated with a number of calculations for diatomic molecules and for the benchmark G1 set.

## Introduction

In recent years the present authors have reported a number of fixed-node DMC studies using trial wavefunctions whose determinantal part is built with the CIPSI approach (1–5). The purpose of this paper is to review the present situation, to clarify some important aspects of DMC-CIPSI, and to present some new illustrative results.

In *Selected Configuration Interaction* section we briefly recall what Configuration Interaction (CI) methods are about and present the basic ideas of (perturbatively) selected Configuration Interaction approaches. We emphasize on the very high efficiency of SCI in approaching the exact Full CI limit using only a *tiny* fraction of the full Hilbert space of determinants. Selecting important determinants being a natural idea, it is no surprise that it has been introduced a long time ago and has been rediscovered many times under various forms since then. To the best of our knowledge selected CI appeared for the first time in 1969 in two independent works by Bender and Davidson (6) and Whitten and

Hackmeyer (7). In practice, the flavor of SCI we employ is the CIPSI approach introduced by Malrieu and collaborators in 1973 (8). CIPSI being our working algorithm for generating CI expansions, a brief description is given here. It is noted that the recent FCI-QMC method of Alavi *et al.* (9, 10) is essentially a SCI approach, except that selection of determinants in FCI-QMC is done stochastically instead of deterministically.

In *Applications of CIPSI* section the performance of CIPSI is illustrated for the case of the water molecule at equilibrium geometry using the cc-pCVnZ family of basis sets, with  $n=2$  to 5 and for the whole set of 55 molecules and 9 atoms of the benchmark G1 set (11, 12). It is shown that in all cases the FCI limit is closely approached.

In *Using CIPSI nodes in DMC* section the use of CIPSI nodes in DMC is discussed. We first present our motivations and then comment on the key result observed, namely that in all applications realized so far the fixed-node error associated with the approximate nodes of the CIPSI expansion is found to systematically decrease both as a function of the number of selected determinants and as the size of the basis set. This remarkable property provides a convenient way of controlling the fixed-node error. Let us emphasize that in contrast with common practice in QMC the molecular orbitals are not stochastically re-optimized here. An illustrative application to the water molecule is presented (5). Of course, the main price to pay is the need of using much larger CI expansions than usual. The main ideas of our recently proposed approach (13) to handle very large number of determinants in QMC are presented. In practice, converged DMC calculations using trial wavefunctions including up to a few millions of determinants are feasible. The computational increase with respect to single-determinant calculations is roughly proportional to  $\sqrt{N_{dets}}$  with a small prefactor.

In *Pseudopotentials for DMC using CIPSI* section the implementation of Effective Core Potentials (ECP) in DMC using CIPSI trial wavefunctions is presented. As already proposed some time ago (14, 15), CI expansions allow to calculate analytically the action of the nonlinear pseudo-potential operator on the trial wavefunction. In this way, the use of quadrature points to integrate the wavefunction over the sphere as usually done (16) is avoided and a gain in computational effort essentially proportional to the number of grid points is achieved. The effectiveness of the approach is illustrated in the case of the atomization energy of the  $C_2$  molecule.

Finally, *Summary and some Perspectives* section presents a detailed summary of the main features of the DMC-CIPSI approach and some lines of research presently under investigation are mentioned.

## Selected Configuration Interaction

### Configuration Interaction Methods

In Configuration Interaction the wavefunction is written as a sum of Slater determinants

$$|\Psi\rangle = \sum_i c_i |D_i\rangle \quad (1)$$

where determinants are built over spin-orbitals. Let  $\{\varphi_k\}$  be the set of  $N_{MO}$  orthonormal molecular orbitals used, the size of the full Hilbert space is given by the number of ways of distributing the  $N_{\uparrow}$  electrons among the orbitals times the corresponding number for the  $N_{\downarrow}$  electrons. The total size of the full CI space is then (no symmetries are considered)

$$N_{FCI} = \binom{N_{MO}}{N_{\uparrow}} \binom{N_{MO}}{N_{\downarrow}} \quad (2)$$

The CI eigenspectrum is obtained by diagonalizing the Hamiltonian matrix,  $H_{ij} = \langle D_i | H | D_j \rangle$  within the orthonormal basis of determinants. In practice, the exponential increase of the FCI space restricts the use of FCI to small systems including a small number of electrons and molecular orbitals ( $N_{FCI}$  not greater than about  $10^9$ ). To go beyond, the FCI expansion has to be truncated. The most popular strategy consists in defining a subspace of determinants chosen *a priori*. Typically, the Hartree-Fock determinant (or a few determinants) is chosen as reference and all possible determinants built by promoting a given number of electrons from the HF occupied orbitals to the virtual ones are considered. In the CIS approach only single excitations are considered, in CISD all single and double excitations, etc.

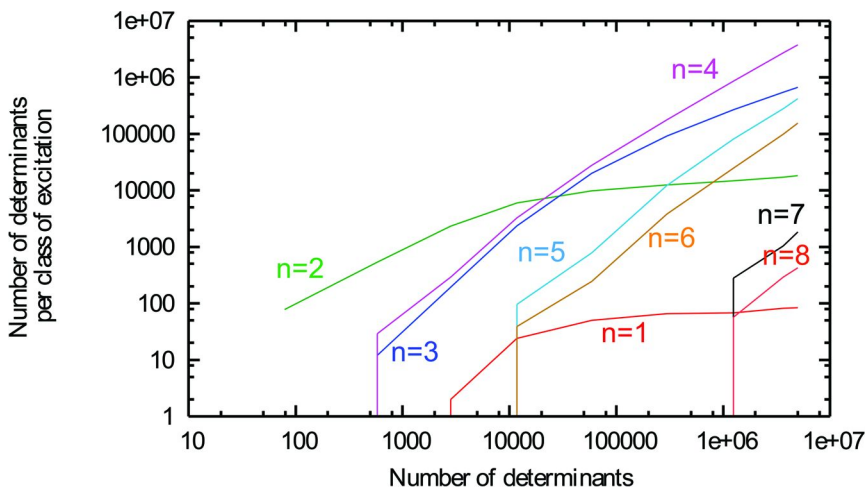


Figure 1.  $N_2$  in the *cc-pVTZ* basis set ( $R_{N-N} = 1.0977 \text{ \AA}$ ). Variation of the number of determinants with  $n$ -excitations with respect to the Hartree-Fock determinant in the CIPSI expansion as a function of the number of selected determinants up to  $5 \cdot 10^6$ .

Now, numerical experience shows that among all possible determinants corresponding to a given number of excitations, only a *tiny* fraction plays a significant role in constructing the properties of the low-lying eigenstates. Furthermore, the weight of a determinant in the CI expansion is not directly related to its degree of excitation. For example, quadruply-excited determinants may play a more important role than some doubly- or singly-excited determinants. However, in practice, limiting the maximum number of excitations to about six is usually sufficient to get chemical accuracy. To give some quantitative illustration of these statements, Figure 1 presents the number of determinants per class of excitations  $n$  as a function of the number of determinants in the CIPSI wavefunction for the  $N_2$  molecule at equilibrium geometry (cc-pVTZ basis set). Without entering now into the details of CIPSI presented below, let us just note that for  $5 \cdot 10^6$  determinants the CIPSI expansion has almost converged to the FCI solution. Accordingly, results presented in the figure for the distribution of excitations is essentially that of the FCI wavefunction.

As a consequence of the preceding remarks, it is clear that it is desirable to find a way of selecting only the most important determinants of the FCI expansion without considering all those of negligible weight (the vast majority). This is the purpose of selected configuration interaction approaches.

### Selected CI and CIPSI Algorithm

To the best of our knowledge Bender and Davidson (6) and Whitten and Hackmeyer (7) were the first in 1969 to introduce and exploit the idea of selecting determinants in CI approaches. In their work Bender and Davison proposed to select space configuration using an energy contribution criterion. Denoting  $|\phi_0\rangle$  the restricted HF CSF-configuration,  $|\phi_i^l\rangle$  all possible spin configurations issued from the space configuration, and

$$\epsilon_i^{(2)} = \frac{1}{k} \sum_{l=1}^k \frac{|\langle \phi_i^l | H | \phi_0 \rangle|^2}{\langle \phi_0 | H | \phi_0 \rangle - \langle \phi_i^l | H | \phi_i^l \rangle} \quad (3)$$

the «average» perturbative energy contribution, the space configurations were ordered according to this contribution and those determinants contributing the most selected. The CI wavefunction was then constructed by using the selected configurations,  $|\phi_0\rangle$ , and all single excitations. A few months later, a similar idea using the very same perturbative criterion was introduced independently by Whitten and Hackmeyer (7). In addition, they proposed to improve step-by-step the CI expansion by iterating the selection step to reach the most important determinants beyond double-excitations.

In 1973 Malrieu and collaborators (8) presented the CIPSI method (and later on an improved version of it (17)). In CIPSI the construction of the multireference variational space is essentially identical to that of Whiten and Hackmeyer. However, in order to better describe the dynamical correlation effects poorly reproduced by the multireference space, a perturbational calculation

of the remaining correlation contributions was proposed. In applications the perturbational part is usually important from both a qualitative and quantitative point of view.

The CIPSI algorithm being our practical scheme for generating selected CI expansions, let us now present its main steps.

- *Step 0:* Start from a given determinant (e.g. the Hartree-Fock determinant) or a set of determinants, thus defining an initial reference subspace:  $S_0 = \{|D_0\rangle, |D_1\rangle, \dots\}$ . Diagonalize  $H$  within  $S_0$  and get the ground-state energy  $E_0^{(0)}$  and eigenvector:

$$|\Psi_0^{(0)}\rangle = \sum_{i \in S_0} c_i^{(0)} |D_i\rangle \quad (4)$$

Here and in what follows, a superscript on various quantities is used to indicate the iteration number  $n$

Then, do iteratively ( $n = 0, \dots$ ):

- *Step 1:* Collect all different determinants  $|D_k\rangle$  connected by  $H$  to  $|\Psi_0^{(n)}\rangle$ , that is

$$\langle \Psi_0^{(n)} | H | D_k \rangle \neq 0 \quad (5)$$

and not belonging to the reference space  $S_n$ .

- *Step 2:* Compute the small energy change of the total energy due to each connected determinant as evaluated at second-order perturbation theory

$$\delta e(|D_k\rangle) = - \frac{|\langle \Psi_0^{(n)} | H | D_k \rangle|^2}{H_{kk} - E_0^{(n)}} \quad (6)$$

- *Step 3:* Add the determinant  $|D_{k^*}\rangle$  associated with the largest  $|\delta e|$  to the reference subspace:

$$S_n \rightarrow S_{n+1} = S_n \cup \{|D_{k^*}\rangle\} \quad (7)$$

Of course, instead of adding only one determinant a group of determinants can be selected using a threshold. This is what is actually done in practice.

- *Step 4:* Diagonalize  $H$  within  $S_{n+1}$  to get:

$$|\Psi_0^{(n+1)}\rangle = \sum_{i \in S_{n+1}} c_i^{(n+1)} |D_i\rangle \quad \text{with } E_0^{(n+1)} \quad (8)$$

Go to step 1 or stop if the target size for the reference subspace has been reached.

Denoting  $N_{dets}$  the final number of determinants, the resulting ground-state  $|\Psi_0(N_{dets})\tilde{\mathbf{n}}\rangle$  is the variational CIPSI solution. It is the expansion used in DMC to construct the determinantal part of the trial wavefunction.

A second step in CIPSI is the calculation of a perturbational estimate of the correlation energy left between the variational CIPSI energy and the exact FCI one. At second order, this contribution writes

$$E_{PT2} = - \sum_{k \in \mathcal{M}} \frac{|\langle \Psi_0(N_{dets}) | H | D_k \rangle|^2}{H_{kk} - E_0(N_{dets})} \quad (9)$$

where  $\mathcal{M}$  denotes the set of all determinants not belonging to the reference space and connected to the CIPSI expansion  $|\Psi_0(N_{dets})\tilde{\mathbf{n}}\rangle$  by  $H$  (single and double excitations only) and  $E_0(N_{dets})$  the variational CIPSI energy. In practice, this contribution allows to recover a major part of the remaining correlation energy.

At this point a number of remarks are in order:

- i.) Although the selection scheme is presented here for computing the ground-state eigen-vector only, no special difficulties arise when generalizing the scheme to a finite number of states (see, e.g. (17))
- ii.) The decomposition of the Hamiltonian  $H$  underlying the perturbative second-order expression introduced in step 2 is known as the Epstein-Nesbet partition (18, 19). This decomposition is not unique, other possible choices are the Møller-Plesset partition (20) or the barycentric one (8), see discussion in (17).
- iii.) Instead of calculating the energetic change perturbatively, expression (6), it can be preferable to employ the non-perturbative expression resulting from the diagonalization of  $H$  into the two-dimensional basis consisting

of the vectors  $\left| \Psi_0^{(n)} \right\rangle$  and  $|D_k\tilde{\mathbf{n}}\rangle$ . Simple algebra shows that the energetic change is given by

$$\delta e(|D_k\rangle) = \frac{1}{2} [H_{kk} - E_0(N_{dets})] \left[ 1 - \sqrt{1 + \frac{4 \left| \langle \Psi_0^{(n)} | H | D_k \rangle \right|^2}{|H_{kk} - E_0(N_{dets})|^2}} \right] \quad (10)$$

In the limit of small transition matrix elements,  $\left\langle \Psi_0^{(n)} \left| H \right| D_k \right\rangle$ , both expressions (6) and (10) coincide. The non-perturbative formula is used in our applications.

- iv.) The implementation of this algorithm can be performed using limited amount of central memory. On the other hand, the CPU time required is essentially proportional to  $N_{dets} N_{occ}^2 N_{virt}^2$  where  $N_{occ}$  is the number of occupied molecular orbitals and  $N_{virt}$  the number of virtual orbitals.

## Selected CI Variants

As already pointed out selecting the most important determinants of the FCI expansion is a so natural idea that, since the pioneering work of Bender and Davidson (6) and Whitten and Hackmeyer (7), several variants of SCI approaches have been proposed. In practice, the actual differences between approaches are usually rather minor and most ideas and technical aspects seem to have been re-discovered several times by independent groups. To give a fair account of the subject and an exhaustive list of references is thus difficult. Here, we limit ourselves to the references we are aware of, namely (6–8, 17, 21–41). Regarding more specifically CIPSI, there has been a sustained research activity conducted during the 80's and 90's by research groups in Toulouse (Malrieu and coll.), Pisa (Angeli, Persico, Cimiraglia and coll.), and then Ferrara (Angeli, Cimiraglia) including the development at Pisa of a very efficient CIPSI code using diagrammatic techniques (28, 31, 42). Thanks to all this, CIPSI has been extensively applied for years by several groups to a variety of accurate studies of ground and excited states and potential energy surfaces (see, for example (43–58)) Finally, note that in the last years our group has developed its own CIPSI code, Quantum Package. This code has been designed to be particularly easy to install, run and modify; it can be freely downloaded (59).

## FCI-QMC as a Stochastic Selected CI Approach

Full Configuration Interaction Quantum Monte Carlo (FCIQMC) is a method for solving stochastically the FCI equations (9, 10). Introducing as in DMC an imaginary time  $t$  the coefficients  $c_i$  of the CI expansion, Eq.(1), are evolved in time using the operator  $[1 - \tau(H - E)]$  as small-time propagator

$$\mathbf{c}(t + \tau) = [1 - \tau(H - E)] \mathbf{c}(t) \quad (11)$$

$\mathbf{c}(t)$  being the vector of coefficients at time  $t$ ,  $E$  some reference energy, and  $\tau$  the time step. Starting from some initial conditions  $\mathbf{c}(0)$ , the coefficients after  $n$  steps are given by

$$\mathbf{c}(t) = [1 - \tau(H - E)]^n \mathbf{c}(0) \quad (12)$$

In the long-time limit  $t = n\tau$  large) the vector  $\mathbf{c}(t)$  converges to the exact CI vector  $\mathbf{c}$  (independently on initial conditions  $\mathbf{c}(0)$  provided that  $\langle \mathbf{c}(0) | \mathbf{c} \rangle \neq 0$

and for a sufficiently small time step). As in all QMC methods, a set of walkers is introduced for sampling coefficients and a few simple stochastic rules realizing *in average* the action of  $H$  according to Eq.(11) are introduced (spawning, death/cloning and annihilation). Note that equations of evolution (12) are similar to those of continuous DMC (electrons moving in ordinary space) where a small-time expression of operator  $e^{-\tau(H-E)}$  is used, and are essentially identical to the equations of lattice DMC (see e.g. (60).) The two main differences of FCIQMC with other QMC approaches are the fact that no trial vector is introduced (thus, avoiding the fixed-node error) and that the stochastic rules used are particularly efficient in attenuating the sign instability inherent to all stochastic simulations of fermionic systems (annihilation at each MC step of walkers of opposite sign on occupied determinants and use of the initiator approximation).

At a given time  $t$  the CI expansion is stochastically realized by the distribution of walkers as

$$|\Psi\rangle = \sum_i n_i |D_i\rangle \quad (13)$$

where  $n_i$  is the sum of the signed weight of walkers on Slater determinant  $|D_i\rangle$  ( $M = \sum_i |n_i|$  total number of walkers). This wavefunction is the counterpart of the CIPSI expansion at iteration  $n$ , Eq.(8). As in CIPSI at the next step  $t + \tau$  (next iteration  $n + 1$ ) new determinants will appear. In FCI-QMC it is realized through spawning. Some determinants may also disappear through the action of the diagonal part of the Hamiltonian  $[1 - \tau(H_{ii} - E)]$  (death/cloning step). These two steps are designed to reproduce in average the action of the propagator on determinant  $D_i$

$$[1 - \tau(H - E)] |D_i\rangle = [1 - \tau(H_{ii} - E)] |D_i\rangle - \tau \sum_{k \neq i} H_{ik} |D_k\rangle \quad (14)$$

In CIPSI a given determinant  $|D_i\rangle$  is selected only once during iterations via Eq.(6). In latter iterations it is included in the reference space and does not participate anymore to the selection. Starting from some initial determinant (usually the HF determinant) the probability of selecting  $|D_i\rangle$  at some given iteration  $n$  is related to the existence of a series of  $(n - 1)$  intermediate determinants  $\{|D_{i_1}\rangle, |D_{i_2}\rangle, \dots, |D_{i_k}\rangle, \dots\}$  different from  $|D_i\rangle$  and connecting it to the initial determinant so that the product

$$\prod_k \frac{|H_{i_{k+1}i_k}|^2}{H_{i_{k+1}i_k} - E_0} \quad (15)$$

is large compared to products corresponding to other series of intermediate determinants. In FCIQMC determinant  $|D_i\rangle$  is spawned (selected) from  $|D_j\rangle$



according to the magnitude of  $H_{ij}$  and -in contrast with CIPSI- with no direct dependence on the inverse of  $(H_{ii} - E_0)$ . However, during MC iterations the number of walkers on a given determinant evolves in time according to the death/cloning step and leads to a weighted contribution of determinants to spawning. After integration in time the weight of the determinant  $|D_i\rangle$  can be

estimated to be about  $\int dt e^{-t(H_{ii}-E_0)}$  that is,  $\sim \frac{1}{H_{ii}-E_0}$  or large enough time. As seen FCI-QMC and CIPSI are in close connection.

## Applications of CIPSI

### The Water Molecule

To exemplify CIPSI all-electron calculations for the water molecule using basis sets of various sizes are presented. In our first example we propose to reproduce the Density Matrix Renormalization Group (DMRG) calculation of Chan and Head-Gordon (61) at geometry ( $R_{OH} = 1 \text{ \AA}$ ;  $\Theta_{OH} = 104^\circ$ ) and using the «Roos Augmented Double Zeta ANO» basis set consisting of 41 orbitals (62, 63). The full CI Hilbert space contains about  $5.6 \cdot 10^{11}$  determinants (no spin or space symmetries taken into account). Calculations have been carried out using our perturbatively selected CI program Quantum Package (59). The energy convergence as a function of the number of selected determinants in different situations is presented in Figure 2. Four different curves are shown together with the DMRG energy value of -76.31471(1) of Chan and Head-Gordon (61) (solid horizontal line). The two upper curves represent the CIPSI variational energy as a function of the number of selected determinants up to 750 000 using either canonical or natural molecular orbitals. Natural orbitals have been obtained by diagonalizing the first-order density matrix built with the largest expansion obtained using canonical orbitals. As seen the convergence of both variational energies is very rapid. Using canonical orbitals an energy of -76.31239 a.u. is obtained with 750 000 determinants, a value differing from the FCI one by only 2.3 millihartree (about 1.4 kcal/mol). As known the accuracy of CI calculations is significantly enhanced when using natural orbitals (64). Here, it is clearly the case and the lowest energy reached is now -76.31436 a.u. with an error of 0.35 millihartree (about 0.2 kcal/mol). When adding the second-order energy correction  $E_{PT2}$ , Eq.(9), the energy convergence is much improved (two lower curves of Figure 2)

The kcal/mol (chemical) accuracy is reached with only 1000 and 4000 determinants using canonical and natural orbitals, respectively. The best CIPSI energy including second-order correction and obtained with canonical orbitals is -76.31452 a.u. When using natural orbitals the energy is found to converge with five decimal places to the value of -76.31471 a.u., in perfect agreement with the DMRG result of Chan and Head-Gordon, -76.31471(1) a.u. Let us emphasize that approaching the FCI limit with such a level of accuracy and so few determinants (compared to the total number of  $5.6 \cdot 10^{11}$ ) is particularly striking and is one of the most remarkable features of SCI approaches.

To illustrate the possibility of making calculations with much larger basis sets, results obtained with the correlation-consistent polarized core-valence basis sets, cc-pCVnZ, with n going from 2 to 5 are presented. The geometry chosen is now the experimental equilibrium geometry,  $R_{\text{OH}} = 0.9572 \text{ \AA}$  and  $\Theta_{\text{OH}} = 104^\circ 52'$ . The number of basis set functions are 28, 71, 174 and 255 for cc-pCVDZ, cc-pCVTZ, cc-pCVQZ, and cc-pCV5Z, respectively. The total number of determinants of the FCI Hilbert space with such basis sets are about  $10^{10}$ ,  $1.7 \cdot 10^{14}$ ,  $1.6 \cdot 10^{18}$ , and  $7.5 \cdot 10^{19}$ , respectively. On the left part of Figure 3 the convergence of the ground-state variational energy obtained for each basis set is shown. As seen, the convergence is still possible with such larger basis sets. On the right part, the full CIPSI energy curves ( $E_{\text{var}} + E_{\text{PT2}}$ ) are presented; each curve is found to converge with a good accuracy to the full CI limit.

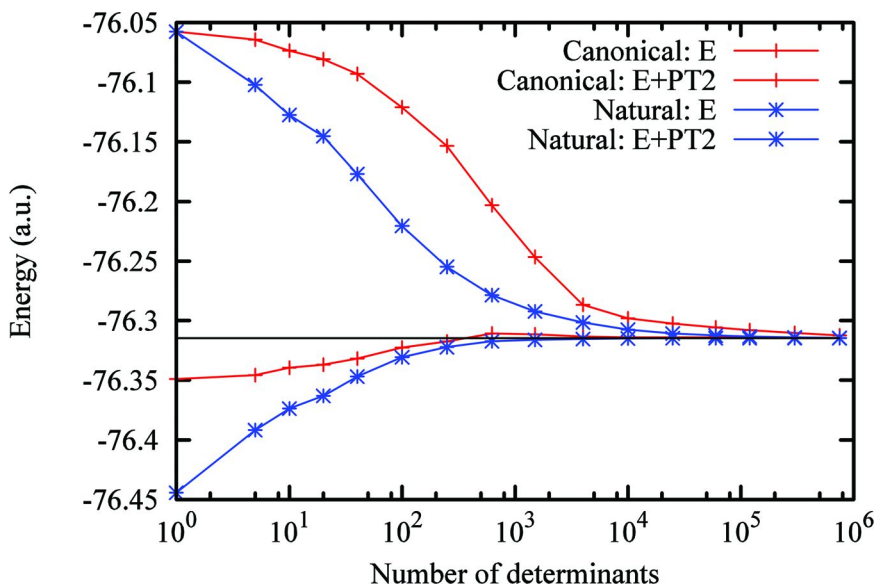


Figure 2. Energy convergence of the variational and full CIPSI energies as a function of the number of selected determinants using canonical and natural orbitals. Energy in a.u.

### Generalization: The G1 Set

In contrast with the exact Full-CI approach which takes into account the entire set of determinants and is thus rapidly unfeasible, CIPSI can be used for much larger systems. The exact limits depend of course on the size of the basis set used, the number of electrons, and also on the level of convergence asked for when approaching the full CI limit. To illustrate the feasibility of CIPSI for larger systems we present systematic all-electron calculations for the G1 benchmark set of Pople and collaborators (65). The set is composed of 55 molecules and 9 different atoms. The cc-pVDZ and cc-pVTZ basis sets have been used. For all

systems and both basis sets a quasi-FCI convergence has been reached. In Figure 4 the number of selected determinants needed to recover 99% of the correlation energy at CIPSI variational level (cc-pVDZ basis set) is plotted for each molecule or atom. For each system results are given either for canonical or natural orbitals. Depending on the importance of the multiconfigurational character of the system, this number may vary considerably (from a few tens to about  $10^7$ ). As expected, the number of determinants needed using natural orbitals is most of the times smaller and sometimes comparable. Figure 5 is similar to the preceding figure, except that numbers are given now for a full CIPSI calculation including the second-order energy correction and that a much greater accuracy corresponding to 99.9% of the correlation energy is targeted. As seen, it is remarkable that such a high precision can be reached for all systems with a number of determinants not exceeding  $10^7$ . In contrast with variational calculations, it should be noted that the use of natural orbitals does not systematically improve the convergence. Finally, some comparison with accurate CCSD(T) calculations performed using the same basis sets and geometries are presented. In Figure 6 the distribution of errors in atomization energies calculated with both CCSD(T) and CIPSI methods are plotted. For the cc-pVDZ basis set, CCSD(T) and CIPSI curves are very similar, indicating that CCSD(T) calculations have also reached the quasi full CI limit. For the larger cc-pVTZ basis set, the two curves remain similar but some significant differences show up with CIPSI results more distributed toward small errors due to a better description of multireference systems.

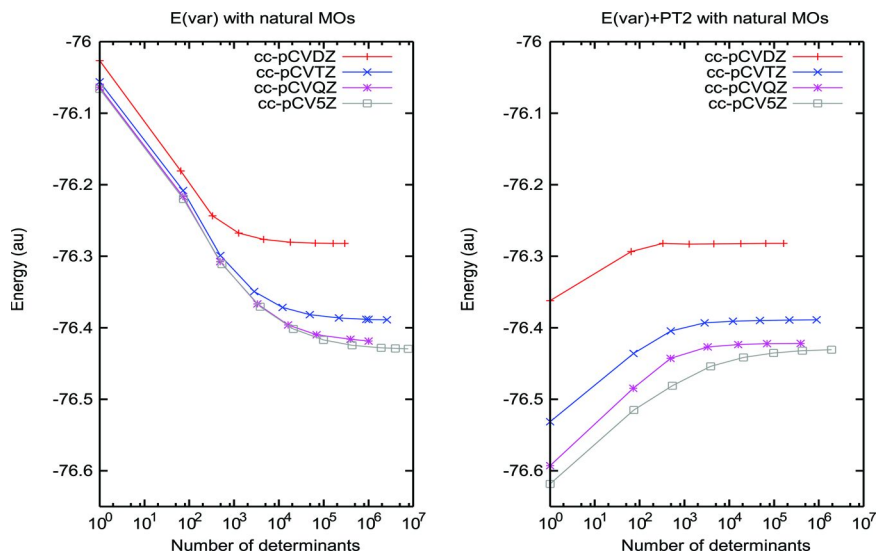


Figure 3. Convergence of the energy with the number of selected determinants (logarithmic scale). The graph on the left displays the variational energy, and the graph on the right shows the energy with the perturbative correction, Eq. (9).

cc-pVDZ basis set : Number of dets for recovering 99% of CE with variational CIPSI

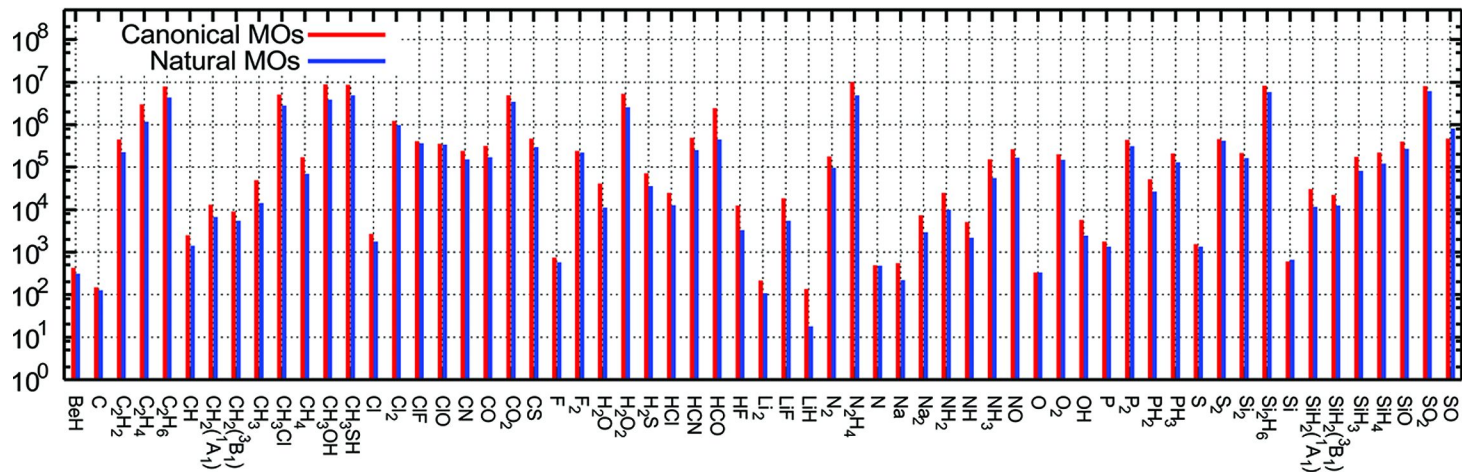


Figure 4. Number of selected determinants required to recover 99% of the total correlation energy at CIPSI/cc-pVDZ variational level. Results for canonical and natural orbitals are given.

cc-pVDZ basis set : Number of dets for recovering 99.9% of CE with full CIPSI

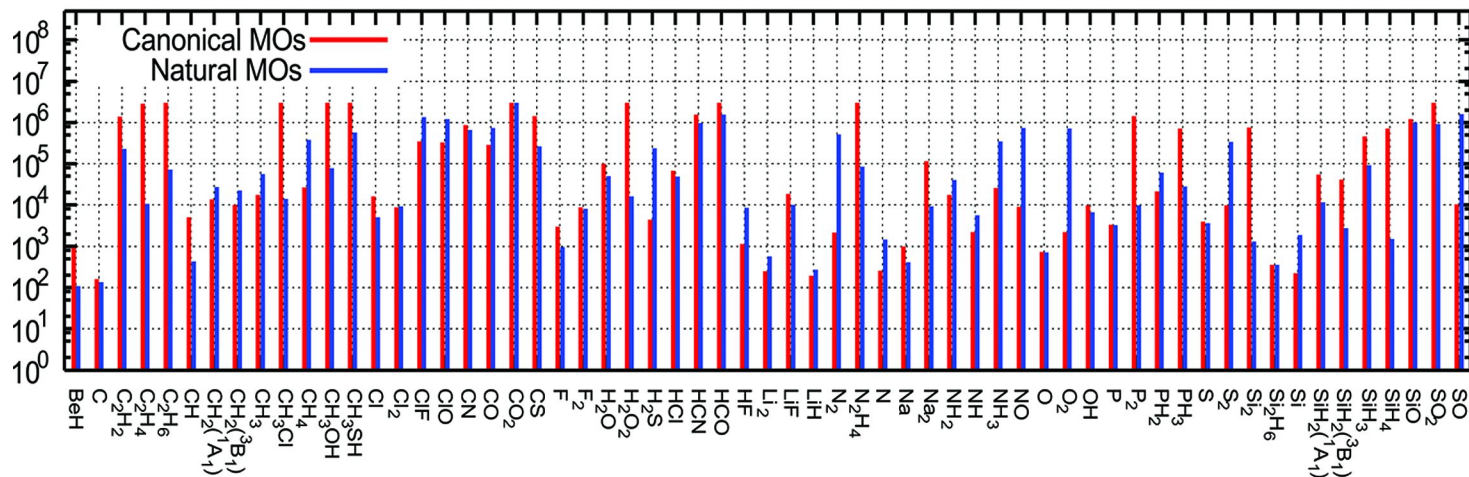


Figure 5. Number of selected determinants required to recover 99.9% of the total correlation energy at full CIPSI/cc-pVDZ level, that is ( $E_{\text{var}}+E_{\text{PT2}}$ ) Results for canonical and natural orbitals are given.

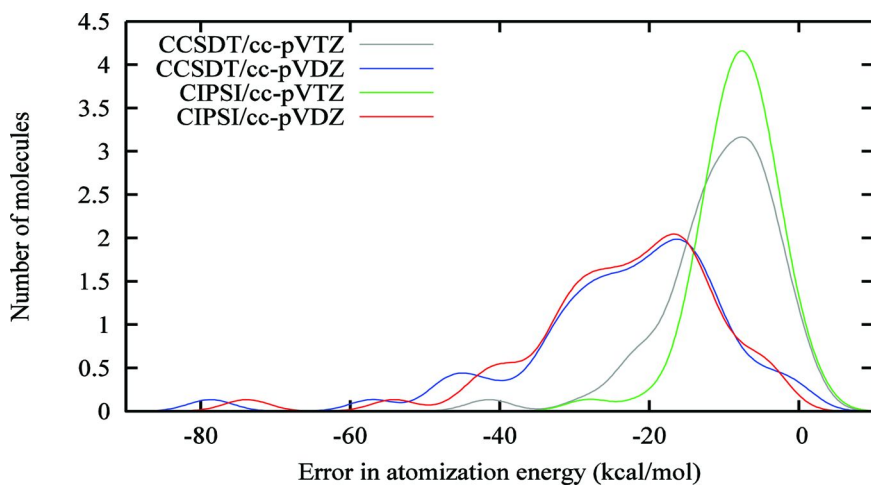


Figure 6. Distribution of errors in atomization energies for the whole G1 set of atomic and molecular systems calculated with CIPSI and CCSD(T). Results shown for *cc-pVDZ* and *cc-pVTZ* basis sets.

## Using CIPSI Nodes in DMC

### Motivations

In DMC the standard practice is to introduce compact trial wavefunctions reproducing as much as possible the mathematical and physical properties of the exact wave function. Next, the «best» nodes are determined through optimization of the parameters of the trial wavefunction in a preliminary variational Monte Carlo (VMC) run. The objective function to minimize is either the variational energy associated with the trial wavefunction or the variance of the Hamiltonian (or a combination of both). A number of algorithms have been elaborated to perform this important practical step as efficiently as possible (66–71). No limitations existing in QMC for the choice of the functional form of the trial wavefunction, many different expressions have been introduced (see, e.g. (72–79)). However, the most popular one is certainly the Jastrow-Slater trial wavefunction expressed as a short expansion over a set of Slater determinants multiplied by a global Jastrow factor describing explicitly the electron-electron and electron-electron-nucleus interactions and, in particular, imposing the electron-electron cusp conditions associated with the zero-interelectronic distance limit of the true wavefunction.

In the DMC-CIPSI approach the determinantal part of the trial wavefunction is built using systematic CIPSI expansions. The main motivation is that CI approaches provide a simple, deterministic, and systematic way of constructing wavefunctions of controllable quality. In a given one-particle basis set, the wavefunction is improved by increasing the number of determinants, up to the Full CI (FCI) limit. Then, by increasing the basis set, the wavefunction can be further

improved, up to the complete basis set (CBS) limit where the exact solution of the continuous electronic Schrödinger equation is reached. The CI nodes, which are defined as the zeroes of the expansion, are also expected to follow such a systematic improvement, thus facilitating the control of the fixed-node error. A second important motivation is that the stochastic optimization step can be avoided since a systematic way of improving the wavefunction is now at our disposal. The optimal CI coefficients are obtained by the (deterministic) diagonalization of the Hamiltonian matrix in the basis set of Slater determinants. It is a simple and robust step which leads to a unique set of coefficients. Furthermore, it can be made automatic, an important feature in the perspective of designing a fully black-box QMC code. Finally, using deterministically constructed nodal structures greatly facilitates the use of nodes evolving smoothly as a function of any parameter of the Hamiltonian. It is important when calculating potential energy surfaces (see, our application to the  $F_2$  molecule (4),) or response properties under external fields.

The main price to pay for such advantages is of course the need of considering much larger multideterminant expansions (from tens of thousands up to a few millions) than in standard DMC implementations where compactness of the trial wavefunction is searched for. However, efficient algorithms have been proposed to perform such calculations (80–82). Very recently, we have also presented an efficient algorithm for computing very large CI expansions (13). Its main ideas are briefly summarized in *Evaluating very large number of determinants in QMC* subsection below.

### **Toward a Better Control of the Fixed-Node Approximation**

A remarkable property systematically observed so far in our DMC applications using large CIPSI expansions (1–5) is that, except for a possible transient regime at small number of determinants (83), the fixed-node error resulting from the use of CIPSI nodes is found to decrease monotonically, both as a function of the number of selected determinants,  $N_{dets}$ , and of the basis set size,  $N_{MO}$ . This result is illustrated here in the case of the water molecule at equilibrium geometry. Results shown here complement our recent benchmark study on water (5). In Figure 7 all-electron fixed-node energies obtained with DMC-CIPSI as a function of the number of selected determinants for the first four cc-pCVnZ basis set (n=2-5) are reported. Calculations have been performed using the variational CIPSI expansions of the preceding subsection. In practice, DMC simulations have been realized using our general-purpose QMC program QMC=Chem (downloadable at (84)). A minimal Jastrow prefactor taking care of the electron-electron cusp condition is employed and molecular orbitals are slightly modified at very short electron-nucleus distances to impose exact electron-nucleus cusp conditions. The time step used,  $\tau = 2 \cdot 10^{-4}$  a.u., has been chosen small enough to make the finite time step error not observable with statistical fluctuations. As seen on the figure the convergence of DMC energies both as a function of the number of determinants and of the basis set are almost reached. The value of -76.43744(18) a.u. obtained with the largest basis set and

1 423 377 determinants is, to the best of our knowledge, the lowest upper bound reported so far, the experimentally derived estimate of the exact nonrelativistic energy being -76.4389(1) a.u. (85) Thanks to our recent algorithm for calculating very large number of determinants in DMC (13) (see, section below), the increase of CPU time for the largest calculation including more than 1.4 million of determinants compared to the same calculation limited to the single Hartree-Fock determinant is only about 235.

In practice, the possibility of calculating fixed-node energies displaying such a regular behavior as a function of the number of determinants and molecular orbitals is clearly attractive in terms of control of the fixed-node error. For example, in our benchmark study of the water molecule (5) it was possible to extrapolate the DMC energies obtained with each cc-pCVnZ basis set as a function of the cardinal  $\frac{1}{n^3}$  number  $n$ , as routinely done in deterministic CI calculations. Using a standard  $n^3$  law a very accurate DMC-CIPSI energy value of -76.43894(12) a.u. was obtained, in full agreement with the estimate exact value of -76.4389(1) a.u. (5).

At this point, we emphasize that the observed property of systematic decrease of the energy as a function of the number of determinants is known not to be systematically true for a general CI expansion (see, e.g. (86)). Here, its validity may probably be attributed to the fact that determinants are selected in a hierarchical way (the most important ones first), so that the wavefunction quality increases step by step, and so the quality of nodes. However, from a mathematical point of view, such a property is far from being trivial. There is no simple argument why the FCI nodes obtained from minimization of the variational energy with respect to the multideterminant coefficients would lead to the best nodal structure (minimum of the fixed-node energy with respect to such coefficients). In a general space (not necessarily a Hilbert space of determinants) it is easy to construct a wavefunction of poor quality having a high variational energy but exact nodes and, then, to exhibit a wavefunction with a much lower energy but wrong nodes. To demonstrate the validity or not of the observed property in a finite space of determinants built with molecular orbitals expanded in a finite basis set remains to be done.

## Evaluating Very Large Number of Determinants in QMC

The algorithm we use to run DMC calculations with a very large number of determinants (presently up to a few millions) has been presented in detail in ref. (13). Its efficiency is sufficiently high to perform converged DMC calculations with a number of determinants up to a few millions of determinants. In the case of the chlorine atom discussed in (13) a trial wavefunction including about 750 000 determinants has been used with a computational increase of only about 400 compared to a single-determinant calculation. As already mentioned above, in the benchmark calculation of the water molecule (5) up to 1 423 377 determinants have been used for a computational increase of only 235.



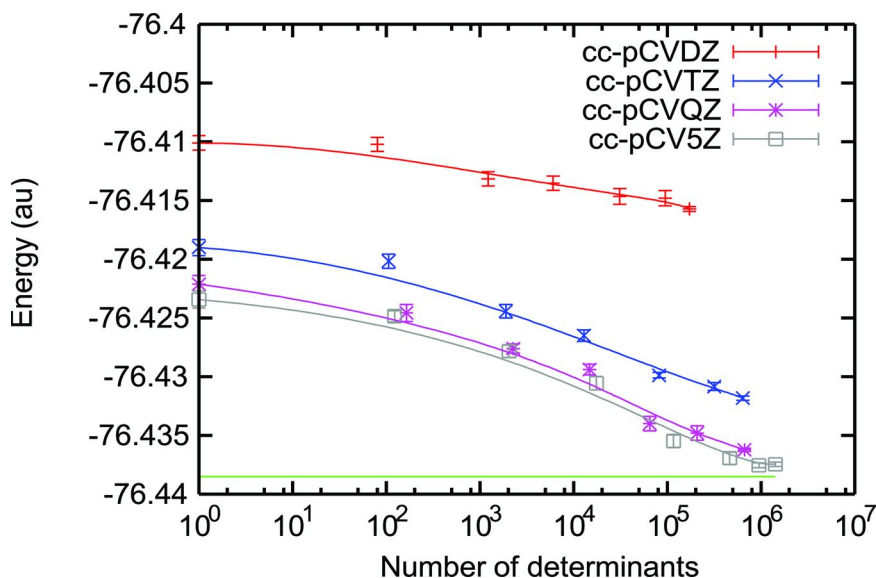


Figure 7. DMC energy of the water molecule as a function of the number of determinants in the trial wave function (logarithmic scale). The horizontal solid line indicates the experimentally derived estimate of the exact nonrelativistic energy (85).

The main ideas of the algorithm are as follows.

- $O(\sqrt{N_{dets}})$ -scaling. A first observation is that the determinantal part of trial wave functions built with  $N_{dets}$  determinants can be rewritten as a function of a set of different spin-specific determinants  $D_i^\sigma(\mathbf{R}_\sigma)$  ( $\sigma = \uparrow, \downarrow$ ) as follows

$$\Psi_{Det}(R) = \sum_{i=1}^{N_{dets}^\uparrow} \sum_{j=1}^{N_{dets}^\downarrow} C_{ij} D_i^\uparrow(R_\uparrow) D_j^\downarrow(R_\downarrow) \quad (16)$$

where  $\mathbf{C}$  is a matrix of coefficients of size  $N_{dets}^\uparrow \times N_{dets}^\downarrow$ ,  $\mathbf{R} = (\mathbf{r}_1, \dots, \mathbf{r}_N)$  denotes the full set of electron space coordinates, and  $\mathbf{R}_\uparrow$  and  $\mathbf{R}_\downarrow$  the two subsets of coordinates associated with  $\uparrow$  and  $\downarrow$  electrons.

In standard CI expansions the number of unique spin-specific determinants is much smaller than  $N_{dets}$  and typically scales as  $\sqrt{N_{dets}}$ . It is true for FCI expansions where all possible determinants are considered. Indeed,  $N_{dets}^\sigma$  attains its maximal value of  $\binom{N_{MO}}{N_\sigma}$  and since  $N_{dets}$  is given as  $N_{dets}^\uparrow \times N_{dets}^\downarrow$ , the number of unique

spin-specific determinants  $D_\sigma(\mathbf{R})$  is of order  $\sqrt{N_{dets}}$ . However, it is in general also true for the usual truncated expansions (CASSCF, CISD, etc.) essentially because the numerous excitations implying multiple excitations of spin-like electrons plays a marginal role and have a weak contribution to the expansion.

- *Optimized Sherman-Morrison updates.* As proposed in a number of works (80–82), we calculate the determinants and their derivatives using the Sherman-Morrison (SM) formula for updating the inverse Slater matrices. However, in contrast with other implementations, we have found more efficient not to compare the Slater matrix to a common reference (typically, the Hartree-Fock determinant) but instead to perform the Sherman-Morrison updates with respect to the previously computed determinant  $D_{i-1}^\sigma$ . To reduce the prefactor associated with this step the list of determinants is sorted with a suitably chosen order so that with high probability successive determinants in the list differ only by one- or two-column substitution, thus decreasing the average number of substitution performed.
- *Exploiting high-performance capabilities of present-day processors.* This very practical aspect - which is in general too much underestimated - is far from being anecdotal since it allows us to gain important computational savings. A number of important features include the use of vector fused-multiply add (FMA) instructions (that is, the calculation of  $a=a+b*c$  in one CPU cycle) for the innermost loops. It is extremely efficient and should be systematically searched for. Using such instructions (present in general-purpose processors), up to eight FMA per CPU cycle can be performed in double precision. While computing loops, overheads are also very costly and should be reduced/eliminated. By taking care separately of the various parts of the loop (peeling loop, scalar loop, vector loop, and tail loop) through size-specific and/or hard-coded subroutines, a level of 100% vectorized loops is reached in our code. Another crucial point is to properly manage the data flow arriving to the processing unit. As known, to be able to move data from the memory to the CPU with a sufficiently high data transfer to keep the CPU busy is a major concern of modern calculations. Then, it is not only important to make maximum use of the low-latency cache memories to store intermediate data but also to maximize prefetching allowing the processor to anticipate the use of the right data and instructions in advance. To enhance prefetching the algorithm should allow the predictability of the data arrival in the CPU (that is, avoid random access as much as possible). It is this important aspect that has motivated us to use Sherman-Morrison updates, despite the fact that a method like the Table method (81) has formally a better scaling. Indeed, massive calculations of scalar products at the heart of repeated uses of SM updates are so ideally adapted to present-day processors that very high performances can be obtained.

- *Improved truncation scheme.* Instead of truncating the CI expansion according to the magnitude of the multideterminant coefficients as usual done, we propose instead to remove spin-specific determinants according to their total contribution to the norm of the expansion. In this way, more determinants can be handled for a price corresponding to shorter expansions.

To be more precise, we first observe that truncating the wavefunction according to the magnitude of coefficients has the effect of removing elements of the sparse matrix  $C$  of Eq.(16). A reduction of the computational cost occurs only when a full line ( $\uparrow$ ) or a full column ( $\downarrow$ ) of  $C$  contains only zeroes, in that case the determinant  $D_\sigma$  can be removed from the calculation. Now, by expressing the norm of the wave function as

$$\mathcal{N} = \sum_{i=1}^{N_{dets}^\uparrow} \sum_{j=1}^{N_{dets}^\downarrow} C_{ij}^2 = \sum_{i=1}^{N_{dets}^\uparrow} \mathcal{N}_i^\uparrow = \sum_{j=1}^{N_{dets}^\downarrow} \mathcal{N}_j^\downarrow \quad (17)$$

it is possible to assign a contribution to the norm to each determinant. Then, all determinants whose contribution to the norm is below some threshold will be removed from the expansion. This truncation scheme allows to eliminate the smallest number of coefficients needed to obtain some computational gain. Moreover, the size-consistence property of the wave function is expected to be approximately preserved by such a truncation: When a  $\sigma$ -determinant is removed, it is equivalent to removing the product of  $D_\sigma$  with all the  $\sigma$ -determinants of the wave function.

## Pseudopotentials for DMC Using CIPSI

When using pseudopotentials a valence Hamiltonian is defined

$$H_{val} = H_{loc} + V_{ECP} \quad (18)$$

where  $H_{loc}$  is the local part describing the kinetic energy, the Coulombic repulsion and the local part of the effective core potentials (ECP)

$$H_{loc} = -\frac{1}{2} \sum_i \nabla_i^2 + \sum_{i,\alpha} v_{loc}(r_{i\alpha}) + \sum_{i<j} \frac{1}{r_{ij}} \quad (19)$$

and  $V_{ECP}$  the non-local part written as

$$V_{ECP} = \sum_{i,\alpha} \sum_l v_l(r_{i\alpha}) \sum_{m=-l}^l Y_{lm}(\Omega_{i\alpha}) \int d\Omega'_{i\alpha} Y_{lm}^*(\Omega'_{i\alpha}) \quad (20)$$

where  $v_l$  is a radial pseudopotential,  $Y_{lm}$  is the spherical harmonic,  $\alpha$  labels pseudions. The action of a non-local operator being difficult to sample in DMC,  $V_{ECP}$  is

«localized» by projecting it on the trial wavefunction. The localized form of the pseudo-potential is thus defined as

$$V_{ECP}^{loc} = \frac{V_{ECP} \Psi_T}{\Psi_T} \quad (21)$$

and we are led back to standard DMC simulations using only local operators at the price of introducing a new «localization approximation». This error is usually minimized by optimization of the trial wavefunction, see ref (87). In practice, the necessity of numerically evaluating the localized potential is the main difference with standard DMC calculations.

For each nucleus  $\alpha$  and electron  $i$  the two-dimensional angular integrals of the product of each  $Y_{lm}$  and the trial wavefunction (all electrons fixed except the  $i$ -th electron moved over the sphere centered on nucleus  $\alpha$  and of radius  $r_{i\alpha}$ ) must be performed. By choosing the axes oriented such that the  $i$ -th electron is on the  $z$  axis, the contribution coming from the pair  $(i, \alpha)$  is given

$$\sum_{i,\alpha} \sum_l \frac{2l+1}{4\pi} v_l(r_{i\alpha}) \int d\Omega'_{i\alpha} P_l(\cos \theta') \frac{\psi_T(\mathbf{r}_1, \dots, \mathbf{r}'_i, \dots, \mathbf{r}_N)}{\psi_T(\mathbf{r}_1, \dots, \mathbf{r}_i, \dots, \mathbf{r}_N)} \quad (22)$$

where  $P_l$  denotes a Legendre polynomial. Because of the Jastrow factor, the integrals involved cannot be computed analytically. The standard solution is to evaluate them numerically using some quadrature for the sphere. Here, the CI form allows to perform the integration exactly, as already proposed some time ago (14, 15). Note that although no Jastrow prefactor is used here when localizing the pseudo-potential operator, such a prefactor can still be used for the DMC simulation itself. A first advantage is that the calculation is significantly faster: In practice, the computational cost is the same as evaluating the Laplacian of the wave function and a gain proportional to the number of quadrature points is obtained. A second advantage is the possibility of a better control of the localization error by increasing the number of determinants.

To illustrate these statements, we have chosen to calculate the atomization energy of the  $C_2$  molecule at the Hartree-Fock, CIPSI, DMC-HF and DMC-CIPSI levels with and without pseudopotentials. All-electron HF or CIPSI calculations have been performed with the cc-pVTZ basis set. To allow meaningful comparisons,  $1s$  molecular orbitals have been kept frozen in all-electron CIPSI calculations. Pseudopotential calculations were done using the pseudopotentials of Burkatzki *et al.* (88) with the corresponding VTZ basis set. The electron-nucleus cusps of all the wave functions were imposed (89–91). and no Jastrow factor was used. For the sake of comparison, the same time step ( $5 \cdot 10^{-4}$  a.u.) was used for all-electron and pseudopotential calculations, although a much larger time step could have been taken with pseudopotentials.

**Table 1. Comparison of All-Electron (cc-pVTZ) and Pseudopotential (BFD-VTZ) Calculations of the Atomization Energy of C<sub>2</sub> with CIPSI Wave Functions. A Threshold Equal to 10<sup>-6</sup> Was Applied to the CIPSI Wave Functions as Explained in Text.**

	Energy			Number of determinants	
	<i>C</i> (a.u.)	<i>C</i> <sub>2</sub> (a.u.)	<i>AE</i> (kcal/mol)	<i>C</i>	<i>C</i> <sub>2</sub>
Hartree-Fock					
all-electron	-37.6867	-75.4015	17.6	1	1
pseudo-	-5.3290	-10.6880	18.8	1	1
CIPSI					
all-electron	-37.7810	-75.7852	140.1	3796	10 <sup>6</sup>
pseudo-	-5.4280	-11.0800	140.6	3882	10 <sup>6</sup>
DMC-HF					
all-electron	- 37.8293(1)	-75.8597(3)	126.3(2)	1	1
pseudo-	-5.4167(1)	-11.0362(3)	127.2(2)	1	1
DMC-CIPSI, 10 <sup>6</sup> dets					
all-electron	- 37.8431(2)	-75.9166(2)	144.6(2)	3497	173553
pseudo-	-5.4334(1)	-11.0969(3)	144.3(2)	3532	231991
Estimated	exact AE (92, 93)		147±2		

The results presented in Table 1 show that all the atomization energies obtained using pseudopotentials are in very good agreement with those obtained with all-electron calculations at the same level of theory. The DMC energies obtained with CIPSI trial wave functions are always below those obtained with Hartree-Fock trial wave functions, and the error in the atomization energy is reduced from 20 kcal/mol with HF nodes down to 3 kcal/mol with CIPSI nodes.

**Table 2. CPU Time for One Complete Monte Carlo Step (One Walker, All Electrons Moved), CPU Time Needed to Reach an Error on 1 kcal/mol, and Variances Associated with the HF and CIPSI Trial Wave Functions (Electron-Nucleus Cusp Corrected).**

	<i>CPU time per DMC step</i> <i>(milliseconds)</i>		<i>CPU time to get a 1 kcal/mol</i> <i>error (hours)</i>		<i>Variance</i> <i>(a.u.)</i>	
	<i>all-electron</i>	<i>pseudo-</i>	<i>all-electron</i>	<i>pseudo-</i>	<i>all-electron</i>	<i>pseudo-</i>
DMC-HF						
C	0.0076	0.0078	1.54	1.18	7.858(3)	0.3471(2)
C <sub>2</sub>	0.0286	0.0186	14.95	10.35	16.208(7)	1.1372(6)
DMC-CIPSI						
C	0.193	0.201	5.61	0.70	7.620(8)	0.1084(4)
C <sub>2</sub>	10.1	8.12	91.05	12.72	15.61(3)	0.460(1)

Calculations were performed on Intel Xeon E5-2680v3 processors. Timings are given in Table 2. For the carbon atom the computational time needed for one walker to perform one complete Monte Carlo step (all electrons moved) is the same with or without pseudopotentials. For the C<sub>2</sub> molecule, the calculation is even faster with pseudopotentials: A factor of about 1.5 is gained with respect to the all-electron calculation. This can be explained by the computational effort saved due to the reduced size of Slater matrices in the pseudopotential case (from 6 × 6 to 4 × 4) but, more importantly, by the fact that the additional cost related to the calculation of the contributions due to the pseudopotential is not enough important to reverse the situation. In all-electron calculations, the variance is only slightly reduced when going from the Hartree-Fock trial wave function to the CIPSI wave function (with frozen core). Indeed, the largest part of the fluctuations comes from the lack of correlation of the core electrons. In the calculations involving pseudopotentials, the decrease of the variance is significant: A reduction by a factor of 2.4 and 3.2 is observed.

From a more general perspective, comparisons between all-electron and pseudopotential calculations must take into account both the computational effort required in each case and the level of fluctuations resulting from the quality of the trial wavefunction. To quantify this, we have reported in the table the number of CPU hours required to obtain an error bar of 1 kcal/mol. Using pseudopotentials for the C<sub>2</sub> molecule, it is found that the reduction of the variance due to the improvement of the wave function with the multideterminant expansion almost compensates the cost of the computation due to the additional 230 000 determinants: The CPU time needed to obtain a desired accuracy is only 1.2 more than the single determinant calculation.

## Summary and Some Perspectives

Let us first summarize the most important ideas and results presented in this work.

- i.) Selected Configuration Interaction approaches such as CIPSI are very efficient methods for approaching the full CI limit with a number of determinants representing only a tiny fraction of the full determinantal space. This is so because only the most important determinants of the FCI expansion are perturbatively selected at each step of the iterative process. We note that the recent FCI-QMC method of Alavi *et al.* (9, 10) uses essentially the same idea, except that in CIPSI the selection is done deterministically instead of stochastically.
- ii.) In contrast with exact FCI which becomes rapidly prohibitively expensive, CIPSI allows to treat larger systems, while maintaining results of near-Full CI quality. The exact practical limits depend of course on the size of the basis set used, the number of active electrons, and also on the level of convergence asked for when approaching the full CI limit. In this work, the CIPSI approach has been exemplified with near-FCI quality all-electron calculations for the water molecule

using a series of basis sets of increasing size up to the cc-pCV5Z basis set and for the whole set of 55 molecules and 9 atoms of the benchmark G1 set (cc-pVDZ basis set). In each case, the huge size of the FCI space forbids exact FCI calculations. CIPSI has been applied to larger systems, for example for calculating accurate total energies for the atoms of the 3d series (3), and for obtaining near-FCI quality results for the  $\text{CuCl}_2$  molecule (calculations including 63 electrons and 25 active valence electrons) (94). Note that by using Effective Core Potentials as described in *Pseudopotentials for DMC using CIPSI* section even larger systems can be treated.

- iii.) We emphasize that the idea of selecting determinants is not limited to the entire space of determinants but can be used to make CI expansion to converge in a subset of determinants chosen *a priori*. For example, efficient and accurate selected CASCI, CISD, or even MRCC (95) calculations can be performed. Note that going beyond CASCI and implementing a selected CASSCF approach (CASCI with optimization of molecular orbitals) is also possible; this is left for further work. However, note that a stochastic version of CASSCF within FCI-QMC framework has already been implemented by Alavi *et al.* (96)
- iv.) CIPSI expansions can be used as determinantal part of the trial wavefunctions employed in DMC calculations. In other words, we propose to use selected CI nodes as approximation of the unknown exact nodes. The basic motivation is that CI approaches provide a simple, deterministic, and systematic way to build wavefunctions of controllable quality. In a given one-particle basis set, the wavefunction is improved by increasing the number of determinants, up to the FCI limit. Then, by increasing the basis set, the wavefunction can be further improved, up to the CBS limit where the exact solution of the continuous electronic Schrödinger equation is reached. CI nodes, defined as the zeroes of the CI expansions, are also expected to display such a systematic improvement.
- v.) The main result giving substance to the use of selected CIPSI nodes is that in all applications realized so far the fixed-node error is found to decrease both as a function of the number of selected determinants and of the size of the basis set. Mathematically speaking, such a result is far from being trivial. In practice, such a property is particularly useful in terms of control of the fixed-node error.
- vi.) From a practical point of view, the price to pay is the need of considering much larger multideterminant expansions (from tens of thousands up to a few millions) than in standard DMC where compactness of the trial wavefunction is usually searched for. Indeed, computing at each of Monte Carlo step the first and second derivatives of the trial wavefunction (drift vector and local energy) is the hot spot of DMC. However, efficient algorithms have been proposed to perform such calculations (80–82). Here, we have briefly summarized our recently introduced algorithm (13) allowing to compute  $N_{dets}$ -determinant expansions issued from



selected CI calculations with a computational cost roughly proportional to  $\sqrt{N_{dets}}$  (with a small prefactor).

- vii.) One key advantage of using CIPSI nodes is that their construction can be made fully automatic. Coefficients of the CI expansion are obtained in a simple and deterministic way by diagonalizing the Hamiltonian matrix and the solution is unique. Furthermore, when approaching the FCI limit the resulting expansion becomes independent on the type of molecular orbitals used (canonical, natural, Kohn-Sham, see Figure 8 of ref (94)). Another attractive feature is that the nodes built are reproducible and thus « DMC models » can be defined in the spirit of WFT or DFT *ab initio* approaches (HF/cc-pVnZ, MP2/6-31G, CCSD(T), DFT/B3LYP etc.) Indeed, once the basis set has been specified, the nodes are unambiguously defined at convergence of the DMC energy as a function of the number of selected determinants. Furthermore, in this limit the nodal surfaces vary continuously as a function of the parameters of the Hamiltonian. A particularly important example is the possibility of obtaining regular potential energy surface (PES). This idea has been illustrated in a previous work on the potential energy curve of the F<sub>2</sub> molecule (4). Furthermore, it is also possible to reduce the « non-parallelism » error resulting from the use of a trial wavefunction of non-uniform quality across the PES. This can be done for example by using a variable number of selected determinants depending on the geometry and chosen to lead to a constant second-order estimate of the remaining correlation energy (constant-PT2 approach, see (4)).
- viii.) As in standard DMC approaches a Jastrow prefactor can be used to reduce statistical fluctuations. However, in contrast with what is usually done, we do not propose to re-optimize the determinantal CIPSI part in presence of this Jastrow term. The main reason for that is not to lose the advantages of using deterministically constructed nodal structures: Systematic improvement of nodes as a function of the number of determinants and of the size of the basis set, simplicity of construction of nodes and reproducibility, possibility of optimizing a very large number of small coefficients in the CI expansion (no noise limiting in practice the magnitude of optimizable coefficients), smooth evolution of nodes under variation of an external parameter (geometry, external field), etc.
- ix.) The price to pay for not re-optimizing the determinantal part in the presence of a Jastrow is that for small basis sets larger fixed-node errors are usually obtained. However, when increasing sufficiently the quality of basis set, it is no longer true as illustrated for example in the case of the oxygen atom (1), the water molecule (5), and the 3*d*-transition metal atoms (3) for which benchmark total energies have been obtained.
- x.) CIPSI wavefunctions are particularly attractive when using non-local Effective Core Potentials (ECP). Indeed, as already proposed some time ago (14, 15), CI expansions allow the analytical calculation of the action of the non-linear part of the pseudo-potential operator on the trial wavefunction. In this way, the use of a numerical grid defined

over the sphere is avoided and a gain in computational effort essentially proportional to the number of grid points is obtained. Here, this idea has been illustrated in the case of the C<sub>2</sub> molecule.

Finally, let us briefly mention a number of topics presently under investigation.

- xi.) The slow part of the CI convergence is known to result from the absence of electron-electron cusp. In standard QMC approaches, the short distance electron-electron behavior is introduced into the Jastrow prefactor and its impact on nodes is taken into account by optimization of the full trial wavefunction. Under re-optimization, molecular orbitals are changed and the distribution of multideterminant coefficients is modified with a re-enforcement of coefficients associated with chemically meaningful determinants and a reduction of the numerous small coefficients associated with the absence of cusp. To keep the CIPSI expansion as compact as possible and to eliminate this unphysical and incoherent background of small coefficients a R12/F12 version of CIPSI is called for. We emphasize that such an analytical and deterministic construction of the R12/F12 expansion is necessary if we want to keep the advantages related to the deterministic construction of nodes.
- xii.) To treat even larger systems, the increase of the number of determinants in the CIPSI expansion must be kept under control. Instead of targeting the near full CI limit, simpler models can be used in the spirit of what is done in MRCC approaches (95) or by defining effective Hamiltonians in the reference space modelling the effect of the external space (so-called internally decontracted approaches).
- xiii.) Finally, it is clear that systematic studies on difficult systems of various types are needed to explore the potential and limits of the DMC-CIPSI approach.

## Acknowledgments

We would like to thank C. Angeli and P-F. Loos for their useful comments on the manuscript. AS and MC thank the Agence Nationale pour la Recherche (ANR) for support through Grant No ANR 2011 BS08 004 01. This work was performed using HPC resources from CALMIP (Toulouse) under allocation 2016-0510 and from GENCI-TGCC (Grant 2016-08s015).

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